Curriculum Vitae

Professional Experience

•	Securities Litigation Support, LLC • Henderson, NV Securities Litigation Consultant - President	2004 –
•	Wells Fargo Private Client Services¹ • Salt Lake City, UT Regional Manager / Senior Regional Sales Manager - Senior Vice Presid	2001 - 2004 lent
•	George K. Baum & Company • Kansas City, MO Retail Head - Executive Vice President	1999 - 2001
•	Prudential Securities & Insurance • Various Positions	1978 - 1999
	Pilot Programs • Prudential Insurance • Ft. Worth, TX	1998 - 1999
	Executive Director • Prudential Insurance Test Markets • VA & AZ	1997 - 1998
	Director of Regional Training • Prudential Securities • Dallas, TX	1994 - 1997
	Branch Manager	1982 - 1994
	Stock and Commodities Broker • Prudential Securities • Bellevue, WA	1978 - 1982
•	Shearson, Hammill & Co. • Seattle, WA Stock and Commodities Broker	1969 - 1978

Securities Licenses²

Greg Wood has passed the following examinations and holds or has held the following licenses or their equivalents:

- Series 3 National Commodities Futures •
- Series 7 **General Securities Representative** •
- General Securities Sales Supervisor (Same as 9 and 10) Series 8 •
- **General Securities Principal** Series 24 •
- Uniform Securities Agent State Law Examination Series 63 •
- Uniform Investment Advisor Examination Series 65 •
- **Insurance Examination** •

¹ Registration – Wells Fargo Investments, LLC ² Most licenses are now inactive.

Curriculum Vitae

Education and Training

- Oregon State University
 Business Administration
- Securities Industry Institute •1990 Graduate University of Pennsylvania
- NASD Arbitrator Training 2003
- Mediator Training, Utah Alternative Dispute Resolution 2004 2005
- NASD Additional Training: Annuities II •2004
- NASD Arbitrator Chairperson Training 2004
- NASD Mini-Courses #1, #2, #3 2004
- NASD Mini-Courses #4, #5 2006
- NASD Additional Training: Revised Code of Arbitration 2007
- NFA Online Arbitrator Training 2008
- NASD Additional Training: Expungement 2008
- FINRA Expungement Refresher 2009

Other

- FINRA (NASD, NYSE) & NFA Arbitrator
- FINRA (NASD) Mediator
- Mediator Utah Court-Annexed ADR Roster (2005-2006)
- Member Securities Industry and Financial Markets Association (SIFMA), CL Division
- Founding Board Member (Past) Mountain Mediation Center, Park City, UT
- Past Member Securities Industry Association (SIA), Sales and Marketing Committee
- Member Securities Experts' Roundtable
- Associate Member Association of Certified Fraud Examiners
- Past Member Chicago Mercantile Exchange IOM Seat

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