

List of Publications and Presentations

Item	Title
1	<i><u>“Agency Costs, Liquidity, and the Limited Liability Company as an Alternative to the Close Corporation,”</u></i> 21 Stetson L. Rev. 377 (1992) (reprinted in 34 Corp. Practice Commentator 531 (Clark Boardman Callaghan) (1993)).
2	<i>“Negotiation of Over-the-Counter Derivatives: What are the “In-Stone” Provisions & What is Negotiable?”</i> , sponsored by the Financial Advisory Council, New York, New York (May 2-4, 1994).
3	<i>“Legal and Regulatory Issues Concerning Over-the-Counter Derivatives”</i> , sponsored by the Brazilian Comissao de Valores Mobiliarios and the Sao Paulo and Rio de Janeiro stock exchanges, Sao Paulo and Rio de Janeiro, Brazil (September 28-29, 1994).
4	<i>“Soft Dollar Brokerage Arrangements Involving Commodity Futures Transactions,”</i> 16 Futures & Derivatives L. Rep. 9 (Jan. 1997) (co-authored with H. West).
5	<i>“Over-the-Counter Derivatives: A Framework for Oversight”</i> , sponsored by the Institute of Internal Auditors, Charlotte Area Chapter, Charlotte, North Carolina (April 14, 1997).
6	<i>“Credit Derivatives for Effective Credit Portfolio Management”</i> , sponsored by ICM Conferences, New York, New York (September 10-11, 1997).
7	<i>“Revised Article 8 of the NYUCC”</i> , Cadwalader, Wickersham & Taft - Client & Friends Memorandum (October 1997) (co-authored with S. Cohen and L. Kalemka).
8	<i>“Revised Article 8 of the New York Uniform Commercial Code: Possibilities and Potential Pitfalls for Secured Lenders”</i> , Cadwalader, Wickersham & Taft - Client & Friends Memorandum (Winter 1997) (co-authored with S. Cohen and L. Kalemka).
9	<i>“Broker-Dealer Lite: SEC Regulations for OTC Derivatives Dealers,”</i> 17 Futures & Derivatives L. Rep. 9 (May 1998) (co-authored with M. Bartlett and R. Shirazi).
10	<i>“Perry Global Funding Limited: Issuer Presentation and ABCP Industry Developments”</i> , sponsored by Standard & Poor’s, Asset-Backed Securitization Conference, Orlando, Florida (May 5-8, 2003).
11	<i>“Derivatives for Governmental Users: Basics, Uses and Risks,”</i> Holland & Knight LLP – Public Finance, Vol. 4, Issue 1 (May 2004) (co-authored with R. Stephens).
12	<i>“Municipal Derivatives: Basics, Uses and Risks,”</i> Presentation to SunCoast League of Cities, Howey-in-the-Hills, Florida (June 12, 2004) (co-authored with R. Stephens).
13	<i>“Municipal Price Risk Management: Basics, Uses and Risks”</i> , Presentation to Florida Gas Utility Annual Meeting, Clearwater, Florida (July 16, 2004) (co-authored with R. Stephens).

14	<i>“Fixed Spread Basis Swap: Trade Summary,”</i> Holland & Knight LLP – Public Finance, Vol. 5, Issue 1 (January 2005) (co-authored with R. Stephens).
15	<i>“Interest Rate Derivatives: An Overview,”</i> Presentation to the Florida Association of County Attorneys, Tampa, Florida (June 23, 2005) (co-authored with R. Stephens).
16	<i>“Changes in Federal Insolvency Laws Benefit the Municipal Financial Market,”</i> Holland & Knight LLP – Public Finance, Vol. 5, Issue 2 (August 2005).
17	<i>“Municipal Derivatives and Taxation – Current Topics,”</i> Holland & Knight LLP – Public Finance, Vol. 6, Issue 1 (March 2006) (co-authored with J. Theberge).
18	<i>“Interest Rate Derivatives: An Overview”</i> – Presentation to Central Florida – Government Finance Officers Association (April 13, 2006) (co-authored with R. Stephens).
19	<i>“Collateral and Margin for Swaps After the Dodd Frank Act”</i> , Presentation for the Sierentz Fund, L.P. (September 17, 2012).
20	<i>“Derivative Trading Considerations”</i> , ACA Compliance Group – Fall 2012 Compliance Conference (October 18, 2012) (co-authored with M. Holland West).
21	<i>“Collateral and Margin for “Upstream” Swaps After the Dodd Frank Act”</i> , Presentation for Frost Bank (February 11, 2013).
22	<i>“Swap Counterparty Default and Recent Industry Developments”</i> , Presentation for Frost Bank (July 22, 2016).
23	<i>“ISDA Documentation & Syndicated Energy Credits”</i> , Presentation for Frost Bank (April 4, 2017).
24	<i>“Swap Overview & 2018 Current Topics”</i> , Presentation for Frost Bank (October 22, 2018).