RICK A. SMITH, P.E.

PROFESSIONAL EXPERIENCE

JUN 1988 - PRESENT

APPLIED THERMAL ENGINEERING, INC.

Principal of this specialty engineering firm whose forté is identifying and solving complex, intractable problems; industrial power plant engineering; utility generation and distribution; cogeneration; energy conservation and recovery; industrial process improvement; project design and management; forensic engineering and expert witness in the above specialties. Boiler, air conditioning, and pump instructor. Worked as a relief utility operator at a local R&D facility whose equipment includes two 750 HP, 250 PSIG boilers, an ammonia and a carbon dioxide refrigeration system.

Clients include:

- Anheuser Busch
- ARCCA, Inc.
- ATT
- Cargill, Inc.
- Ford Motor Company
- General Motors
- Georgia Pacific
- Honda America Mfg.
- Johnson Controls
- Mead Paper
- Nestlé

Please see last page for representative projects.

OCT 1983 - JUN 1988

• NIBCO

- The Ohio State University
- Owens Corning Fiberglass
- PPG Industries
- Ross Laboratories
- Spirax Sarco
- State of Ohio
- Thomson Consumer Electronics (RCA)
- Volcanic Heater
- Numerous law firms internationally
- Numerous smaller companies

THE OHIO STATE UNIVERSITY

Senior Mechanical Engineer:

- Successfully managed a \$7,000,000 steam line expansion project which encompassed 30,000 feet of superheated steam and condensate lines, several major road crossings, a river bridge crossing, and 15 building tie-ins / system upgrades, all on a crowded urban campus. Project management entailed:
 - · Oversight of consulting engineers.
 - · Review and approval of all plans, specifications, and change orders.
 - · Coordination and liaison with all affected University departments.
 - · Installation coordination and oversight.
 - · Resolving conflicts between this and other ongoing projects.
 - Minimization of disruption to all University operations.
- Spearheaded a \$2,300,000 cogeneration project in McCracken Power Plant. Authored the feasibility study which withstood a peer review by outside consultants; overcame significant technical and political hurdles; supervised design, specification, procurement, and installation of the 3125 KW non-condensing turbine generator, and all associated piping and auxiliary equipment.
- Assisted in the completion and startup of a 125,000 pound per hour coal fired boiler and its associated flue gas scrubber system.
- Assisted in the preliminary needs assessment and scope of work development for a medical waste incinerator.
- Earned the Mechanical Engineering Advanced Professional Degree.

NOV 1981 - JUN 1983

Facilities project manager for maintenance and engineering at five large buildings. Provided engineering services for an additional twenty-five buildings, totaling over 1,000,000 square feet. Major accomplishments:

- Converted three boilers to dual fuel capability to minimize fuel costs.
- Analyzed major electric accounts. Through a transformer purchase, brought a major facility into a lower rate structure, saving thousands of dollars annually.

JUN 1979 - OCT 1981

Mechanical engineer in charge of energy conservation for a large aluminum extrusion plant. Provided engineering services for the boiler house and billet annealing furnaces. Major Accomplishments:

- Initiated closing the doors of the homogenizing furnaces between loads to conserve energy and reduce furnace turnaround time. Zero cost, very large annual savings.
- Discovered and engineered a heat recovery project for an aluminum chip dryer.
- Designed and built a new boiler ash handling facility. Performed major equipment enhancements.
- Analyzed the condensate return system in search of a solution to a vexing problem only to find that the difficulty was caused by a faulty control valve diaphragm.

JUL 1977 - MAY 1979

Project engineering including design and installation of retrofit HVAC systems on campus.

JUL 1976 - JUL 1977

Project engineer, then maintenance supervisor at a large soap manufacturing plant.

LICENSES

PROFESSIONAL ENGINEER: Ohio STATIONARY ENGINEER, 3rd CLASS: Ohio UNIVERSAL REFRIGERATION TECHNICIAN: USEPA STEAM SYSTEM SPECIALIST: USDOE

QUALIFIED AS AN EXPERT IN U.S. AND CANADIAN COURTS

MILITARY SERVICE

OCT 1968 - AUG 1972 Parris Island - 1968. Completed Officer's Candidate School at Quantico in 1969. Volunteered for and actively participated in Viet Nam as an artillery forward observer.

EDUCATION

OHIO STATE UNIVERSITY: Mech. Engineering Professional Degree, 1988. PURDUE UNIVERSITY: BSME, 1976. Member - Pi Tau Sigma.

PROFESSIONAL AFFILIATIONS

MEMBER - American Society of Mechanical Engineers

PUBLIC SERVICE WORK

MEMBER - Columbus District Heating Task Force, 1984 - 1986 MEMBER & PAST COMMANDER - American Legion Post #115, Delaware, OH

COMPUTER EXPERTISE

Proficient in all Microsoft Office applications as well as AutoCAD. Have received extensive training in the use and integration of all these products. Can learn any other package as required.

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CUMMINS ENGINE COMPANY

ALUMINUM CO. OF AMERICA

ARMOUR - DIAL, INC.

PURDUE UNIVERSITY

REPRESENTATIVE ENGINEERING PROJECTS

- Corrected excessive gas consumption in an asphalt drying plant.
- Performed a gas line capacity and cathodic protection study for a glass blowing plant.
- Performed boiler house, steam, and condensate studies at various plants.
- Performed engineering design reviews and assisted with depreciation studies.
- Performed project engineering for an energy center upgrade.
- Performed cogeneration studies for a major university and a major auto manufacturer.
- Wrote standard air compressor specifications for a major food products company.
- Designed a steam reducing station for a large paper drying machine.
- Resolved HVAC problems in a paper mill machine room air conditioning system.
- Performed a cooling tower study for a large brewery.
- Re-engineered the heating system for a bottle washing tank at a large brewery.
- Confirmed the sizing of refrigerant and steam piping.
- Walked down and re-drew the chilled water piping at a large auto assembly facility.
- Walked down and re-drew the ammonia PID's for a large food R&D facility.
- Performed a compressed air study at a large steel mill.
- Performed a cooling study for a large natural gas pipeline compressor.
- Performed a boiler safety audit at a large food R&D facility.
- Perform Coast Guard / ASME design review and certification for a thermal fluid heater manufacturer. Developed a complex, interactive Excel based program to perform calculations.
- Have assisted in boiler value engineering studies for the City of New York.

TRAINING EXPERIENCE

- Have taught hundreds of boiler, HVAC, and pump classes for American Trainco, Applied Thermal Engineering, Lewellyn, and National Technology Transfer in the US, Canada, and the Caribbean.
- University of Wisconsin Engineering Extension, Industrial Boiler Controls Course Presenter.
- Taught ME 625, a dual level course in Power Plant Engineering, while at Ohio State University.

REPRESENTATIVE FORENSIC / EXPERT WITNESS PROJECTS

- Steam line failures and explosions.
- Pressure vessel explosions.
- Boiler explosions, both fire side and water side.
- Boiler failures non explosion.
- Pump and valve failure analysis.
- Coal supply issues.
- Cogeneration system failure.
- Atmosphere oven explosions.
- Carbon monoxide accidents and fatalities.
- Water meter failure.
- HVAC compressor failures.
- Hydro testing explosion.
- Large diesel engine cooling system failure.
- Boiler refractory failures.
- Investigate cooling tower freeze failure.
- Hot water burns / scalds.

PUBLISHED ARTICLES

- "Winter Storm Warning, NBBI Bulletin, Winter 2012, Volume 67, Number 1.
- "75-Ton Bottle Rocket Case Study", NBBI Bulletin, Fall 2012, Volume 67, Number 3.

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