

## Curriculum Vitae

### **WILLIAM B. FAIRLEY, PhD**

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### **PROFESSIONAL**

Analysis & Inference, Inc. (1979-present) - President

Analysis and Inference, Inc. provides authoritative research and consulting services in statistics nationwide to corporations, government agencies, and organizations. The firm is skilled at presenting complex ideas to non-experts. Capabilities include statistical modeling and analysis of data, probability, sampling, statistical significance, survey and experimental design, and regression. Clients and sponsors of research include corporations, government agencies, law firms and non-profit organizations. Topic areas have included audits, surveys, theft and fraud, discrimination, insurance, public policy, education, risk analysis, and product liability.

New York University (1990-1991) - Leonard N. Stern School of Business, Department of Statistics and Operations Research, Visiting Professor of Statistics and Operations Research.

Swarthmore College (1990) - Department of Mathematics. Visiting Professor.

Temple University (1987-1989) - Department of Statistics. Adjunct Lecturer.

Commonwealth of Massachusetts (1976-1979) - Division of Insurance, State Rating Bureau. Economist and Statistician.

Member of a special rating and regulatory analysis group (the State Rating Bureau) created by the Massachusetts Legislature and appointed by the Commissioner of Insurance. Responsible for introducing modern tools of economics, finance, and statistics in rate reviews, research, and testimony of the State Rating Bureau for the major property-liability insurance lines.

University of Karachi (spring 1976) - Applied Economics Research Center, Ford Foundation and Government of Pakistan, Visiting Professor, and Consultant.

Harvard University (1970-1976) - Kennedy School of Government, Public Policy Program. Associate Professor and Assistant Professor.

Graduate teaching and research focused on the uses of statistics in government, law and analyses of policy. Taught courses on statistics at the Harvard Law School (with Michael Finkelstein, Frederick Mosteller, and Lloyd Weinreb). At various times Chairman of the Ph.D. Committee, Second Year Committee, Modules Committee, and the Committee on Information Services of the Kennedy School.

New York University (1969-1970) - Graduate School of Business. Assistant Professor. Graduate teaching and research in applied statistics. Organized and chaired Conference on Quantitative Analysis in Urban Affairs; Member of the Committee on Urban Problems.

New York City Rand Institute (1968-1969) - Statistician.

Co-director of study on crime in the public housing projects of New York City for the Mayor's Criminal Justice Coordinating Council and with the New York City Housing Authority and New York City Police Department.

First National City Bank of New York (Citibank) (1967-1968) - Management Sciences Department. Research Specialist.

Consulting within Management Science and Economics Departments; participated in operations research projects on asset management and the prediction of interest rates.

## **EDUCATION**

Harvard University, PhD - Department of Statistics. Woodrow Wilson and National Science Foundation Fellowships.

London School of Economics - Fulbright Fellowship.

Swarthmore College, BA with High Honors. Major in Economics, Minors in History and Philosophy.

## **PUBLICATIONS**

### SAMPLING, AUDITING, AND THEFT AND FRAUD LOSSES

“Resolving a Multimillion Dollar Contract Dispute with a Latin Square Design,” 2007 Proceedings of the American Statistical Association, Statistical Consulting Section [to appear; CD-ROM], Alexandria, VA: American Statistical Association (with Steven M. Crunk, Marian Hofer, and Peter J. Kempthorne).

“Combining Incomplete Information from Independent Assessment Surveys for Estimating Masonry Deterioration,” Journal of the American Statistical Association, June 2001 (with Alan J. Izenman and Steven M. Crunk).

“Inference for Welfare Quality Control Programs,” Journal of the American Statistical Association, September 1990 (with Alan J. Izenman and Partha Bagchi).

“Bricks, Buildings, and the Bronx”, Chance , Summer 1994, (with Alan J. Izenman and A. Rhett Whitlock).

“A Question of Theft,” in Maurice DeGroot, Stephen Fienberg and Joseph Kadane, Editors, Statistics and the Law, Wiley, 1986 (with Jeffrey E. Glen)

“Welfare Quality Control Programs : How Much is Misspent by the Welfare System?” Chance, Summer 1989 (with Alan J. Izenman)

“Estimated Public Welfare Quality Control Error Rates and Penalties,” in Bayesian Statistics 3, Oxford University Press, 1988 (with David Fairley).

“Establishing Quantity Estimates and Levels of Certainty in Predicting Structural Damage: A Masonry Case Study”, Proceedings of the British Masonry Society, No. 6, March 1994, (with Alan J. Izenman and A. Rhett Whitlock).

### DISCRIMINATION

“Comment on Federal Judicial Center Statistical Examples Software Prototype: Age Discrimination Example (Robert T. Reagan) ,”(invited commentary for symposium on teaching statistics to judges) Jurimetrics, Spring 2002.

“A Case of Unexamined Assumptions: The Use and Misuse of the Statistical Analysis of Casteneda/Hazelwood in Discrimination Litigation,” Boston College Law Review, July 1983 (with Thomas J. Sugrue).

“Statistical Evidence of Racial Disparities in Death Sentencing: A Critical Analysis of McCleskey v. Kemp,” in Human Rights and Statistics: Getting the Record Straight, Richard P. Claude and Thomas B. Jabine, Editors, University of Pennsylvania Press, 1992 (with Glenn Dickinson).

### INSURANCE, FINANCE, AND REGULATION

“Proof of Changepoints in Securities Prices Litigation: Use and Misuse of Event History Analysis,” 2007 Proceedings of the American Statistical Association, Statistical

Consulting Section [to appear; CD-ROM], Alexandria, VA: American Statistical Association (with Yulia Gel, R. Alan Miller, and Kenneth P. Swartz).

“Investment Income and Profit Margins in Property-Liability Insurance: Theory and Empirical Results,” Bell Journal of Economics, Spring 1979. Re-printed in J. David Cummins and Scott A. Harrington, Editors, Fair Rate of Return in Property-Liability Insurance, Kluwer-Nijhoff, 1987.

“Investment Analysis Using the Probability Distribution of the Internal Rate of Return,” Management Science, August 1975 (with Henry D. Jacoby).

“Pricing Automobile Insurance under a Cross-Classification of Risks: Evidence from New Jersey,” Journal of Risk and Insurance, September 1981 (with T. Jerome Tomberlin and Herbert I. Weisberg). Winner of the 1982 Journal of Risk and Insurance Articles Award of the National Association of Independent Insurers.

“Pricing Automobile Insurance under Multivariate Classification of Risks: Additive versus Multiplicative,” Journal of Risk and Insurance, March 1979 (with Lena Chang). Winner of the Journal of Risk and Insurance Clifford D. Spangler Award of the Alpha Kappa Psi Foundation, 1989.

“An Estimation Model for Multivariate Insurance Rate Classification,” in Automobile Insurance Risk Classification: Equity and Accuracy, Massachusetts Division of Insurance, 1978 (with Lena Chang).

“Automobile Insurance: Additive versus Multiplicative Pricing,” 1978 Proceedings of the Business and Economic Section, American Statistical Association, Washington, D.C. (with Lena Chang).

“Capacity and Solvency --The Outside Influence,” Society of Actuaries Record, Vol. 4, No. 1, 190-196, 1978.

#### RISK ANALYSIS, ACCIDENTS, HEALTH, AND PRODUCT LIABILITY

“Estimating Mine Equipment Injury Rates” in B. J. Garrick and W. C. Gekler, Editors, The Analysis, Communication, and Perception of Risk, Plenum Press, 1991 (with Tian-Tzer Jeng).

“A Probability Model for Brittle Fracture Turbine Reliability,” in Chris Whipple and Vincent T. Covello, Editors, Risk Analysis in the Private Sector, Plenum Press, 1985 (with Edward M. Caulfield, Michael T. Cronin, and Nancy R. Rallis).

“Insurance Market Assessment of Technological Risk,” in Chris Whipple and Vincent T. Covello, Editors, Risk Analysis in the Private Sector, Plenum Press, 1985 (with Paul L. Chernick and Michael B. Meyer).

“Market Risk Assessment of Catastrophic Risks,” in Howard C. Kunreuther and Eryl V. Ley, Editors, The Risk Analysis Controversy: An Institutional Perspective, Springer-Verlag, 1982.

“Design, Costs, and Acceptability of an Electric Utility Self-Insurance Pool for Assuring the Adequacy of Funds for Nuclear Power Plant Decommissioning Expense,” NUREG/CR-2370, U.S. Nuclear Regulatory Commission, Washington, D.C., November 1981 (with Paul L. Chernick, Michael B. Meyer and Linda C. Scharff).

“Assessment for Catastrophic Risks,” Risk Analysis, Vol. 1, No. 3, September 1981.

“Evaluating the ‘Small’ Probability of a Catastrophic Accident from the Marine Transportation of Liquefied Natural Gas,” in Proceedings of the Engineering Foundation Conference on Risk Benefit Methodology and Application, David Okrent, Editor, Asilomar, California, 1975. Re-published in Fairley and Mosteller, Statistics and Public Policy, Addison-Wesley, 1977.

“Accidents on Route 2: Two-Way Structures for Data,” in Fairley & Mosteller, Statistics and Public Policy, Addison-Wesley, 1977.

Discussion of Lester B. Lave and Eugene P. Seskin, “Does Air Pollution Shorten Lives,” In John W. Pratt, Editor, Statistical and Mathematical Aspects of Pollution Problems, Marcel Dekker, 1975.

## PUBLIC POLICY AND EDUCATION

“Credible Argument: School Finance in a Legal Trial,” Proceedings of the American Statistical Association Annual Meeting Baltimore 1999.

Statistics and Public Policy, Editor, with Frederick Mosteller, Addison-Wesley, 1977. Japanese Translation, Shojin Sha Ltd., 1990.

“Public Policy and Statistics,” in the International Encyclopedia of Statistics, Vol. 2, William H. Kruskal and Judith M. Tanur, Editors, Macmillan and Free Press, 1978.

Contributor to Fienberg, Stephen E., Hoaglin, David, Kruskal, William H. and Tanur, Judith M., Editors, A Statistical Model: Frederick Mosteller’s Contributions to Statistics, Science, and Public Policy, Springer-Verlag, 1990.

“Comment on Statistical Defensibility,” American Statistician, August 1982.

Improving Public Safety in Urban Apartment Dwellings: Security Concepts and Experimental Design for New York City Housing Authority Buildings, New York City Rand Institute, 1977 (with Michael Liechenstein).

“Trial of an Adversary Hearing: Public Policy in Weather Modification,” International Journal of Mathematical Education in Science and Technology, Vol. 3, pp. 375-383, 1972 (with Frederick Mosteller).

### LAW, EVIDENCE, AND DAMAGES

“Statistics in Law,” in the Encyclopedia of the Statistical Sciences, Vol. IV, Samuel Kotz and Norman L. Johnson, Editors, Wiley, 1983.

“A Bayesian Approach to Identification Evidence,” Harvard Law Review, Vol. 83, No. 3, January 1970 (with Michael Finkelstein).

“The Continuing Debate over Mathematics in the Law of Evidence,” Harvard Law Review, Vol. 84, No. 8 June 1971 (with Michael Finkelstein).

“Probabilistic Analysis of Identification Evidence,” The Journal of Legal Studies, Vol. II, June 1973. Also in Dirk Wendt and Charles Vlek, Editors, Utility, Probability, and Human Decision Making, D. Reidel, 1975.

“A Conversation about Collins,” University of Chicago Law Review, Vol. 41, No. 2, Winter 1974 (with Frederick Mosteller).

Discussion of “Statistics in the Law,” American Statistical Association, Proceedings of the Social Statistics Section, Part I, 1976.

“The Many Uses of Forensic Economics and Statistics,” The Practical Lawyer, Vol. 31, No. 4, pp. 25-36, 1985 (with P. Eden, C. Aller, C. Vencill, M. Meyer, and P. Chernick).

“Evaluation of Structured Settlements,” Am. Jur. Trials, Vol. 31, pp. 595-632, 1984 (with P. Eden, C. Aller, M. Meyer, L. Schroeter, and C. Vencill).

### PROBABILITY THEORY

“The Number of Real Roots of Random Polynomials of Small Degree,” Sankhya, The Indian Journal of Statistics, Series B, Pt. 2, pp. 144-152, 1976.

Roots of Random Polynomials, Ph.D. Thesis, Department of Statistics, Harvard University, June 1968.

## **PROFESSIONAL ACTIVITIES**

Member of the American Association for the Advancement of Science, American Economic Association, American Statistical Association, Society for Risk Analysis.

### **SAMPLING, AUDITING, QUALITY AND THEFT AND FRAUD LOSSES**

Participant, Research Workshop, "Creating and Implementing Quality in Organizations", SEI Center for Advanced Studies in Management, Wharton School, University of Pennsylvania, March, 1990.

Speaker, "Empirical Bayes Procedures for Welfare Quality Control," American Statistical Association Annual Meeting, Washington D.C., 1989.

Chair, "Estimation for Welfare Quality Control," American Statistical Association Annual Meeting, New Orleans, 1988.

Speaker, "Error Rate and Penalty Inference for Quality Control in Public Welfare Programs," American Statistical Association Annual Meeting, San Francisco, August 1987.

Speaker, "Estimated Public Welfare Quality Control Error Rates and Penalties," Third Valencia International Meeting on Bayesian Statistics, Altea, Spain, June, 1987.

Participant, "The City of New Amsterdam vs. Armored Coin Collection Company," mock trial presented by The Panel on Statistical Assessments in the Courts, National Academy of Sciences, at American Statistical Association Annual Meeting, Las Vegas, 1985.

Speaker, "A Question of Theft," American Statistical Association Annual Meeting, Philadelphia, August 1984.

### **DISCRIMINATION**

Participant, Mock Trial, Quantitative Analysis in Law, University of Pennsylvania Law School, December 2006.

Speaker, "Statistics Without Measurements: Promotions and Age in a Police Department," American Statistical Association Annual Meeting, Indianapolis, 2000.

Speaker, "Unsupportable Models of Promotion in Age Discrimination", Fourth International Conference on Forensic Statistics, Raleigh, North Carolina, December 1999.

## INSURANCE, FINANCE, AND REGULATION

Speaker, International Symposium on Business and Industrial Statistics-2007 (ISBIS-2007), August 18-21, 2007, a satellite conference of the International Statistical Institute 2007 Meetings in Lisbon, Portugal, Invited presentation, “Bootstrap Resampling and Propensity Scores in Statistical Learning, Random Forests, and Bagging to Assign Ownership of Unclaimed Property” (with Marc Sobel and Kenneth Swartz).

Winner, Journal of Risk and Insurance Clifford D. Spangler Award of the Alpha Kappa Psi Foundation, 1989.

Paper, “Estimating Mine Equipment Injury Rates,” Society for Risk Analysis Annual Meeting, San Francisco, October 1989.

Chairman, “Insurance and Risk Management” session, Society of Risk Analysis, Annual Meeting, New York City, August 1983.

Paper, “Insurance Market Assessment of Technological Risks,” Annual Meeting, American Association for the Advancement of Science, Detroit, May 1983 (with Michael B. Meyer and Paul L. Chernick).

Winner, Journal of Risk and Insurance Articles Award, 1982.

Member and Speaker, Advisory Committee to the National Association of Insurance Commissioners’ Task Force on Profitability and Investment Income, 1982-83.

Chairman of Session “Equitable Risk Classification in Competitive Insurance Markets,” American Economic Association and Association of Property and Casualty Insurance Economists, Annual Meeting, Atlanta, Georgia, December 28, 1979.

Speaker, “Regulatory Rate Setting Decisions in Automobile Insurance: Massachusetts Experience,” Public Policy Research Conference, University of Chicago, October 19, 1979.

Chair and Speaker, Session “Is Automobile Insurance Priced Fairly? Equity and Statistical Validity in Risk Classification,” American Statistical Association Annual Meeting, San Diego, August 1978.

Panelist of Session “Capacity and Solvency,” Society of Actuaries and Casualty Actuarial Society Annual Meeting, New York City, April 1978.

Speaker, “Profits and Rates of Return in Property-Liability Insurance,” Society of Actuaries Research Conference on Modeling Financial Markets, New York University, September 1977.

## RISK ANALYSIS, HEALTH AND ACCIDENTS AND PRODUCT LIABILITY

Speaker, "Quantitative Risk Assessment: A Study of DDT," Temple Environmental Forum, Philadelphia, Fall 1986.

Speaker, "Decision Uses of Quantitative Risk Assessment," Gordon Research Conference Seminar on Statistics in Chemistry and Chemical Engineering, New Hampton School, New Hampshire, July 1985.

Speaker, "A Probability Model for Brittle Fracture Turbine Reliability," Society for Risk Analysis, Annual Meeting, New York City, August 1983.

Speaker, "Market Risk Assessment of Catastrophic Risk," Summer Study in Decision Processes and Institutional Aspects for Risks, International Institute of Applied Systems Analysis, Vienna, June 1981.

Speaker, "Catastrophic Risks: Case of Zero Occurrences of Accidents," Annual Meeting of the American Statistical Society, Session on "Statistics, Reliability and Risks," Houston, Texas, August 13, 1980.

Panelist, "Accident Risk Assessments," Symposium/Workshop on "Nuclear and Nonnuclear Energy Systems: Risk Assessment and Governmental Decision Making," Washington, D.C., February 1979, Mitre Corporation.

Speaker, "Problems in the Estimation of 'Small' Probabilities," Engineering Foundation Conference on Risk Benefit Methodology and Application, Asilomar, California, September 1975.

## PUBLIC POLICY AND EDUCATION

Speaker, "Credible Argument: School Finance in a Legal Trial," American Statistical Association Annual Meeting, Baltimore, 1999.

Invited Lecture Series, "Statistics in Law and Public Policy: How to Bet If You Must", Temple University, Department of Statistics, April 1989.

Speaker, "Statistics and Public Policy," Institute of Mathematical Statistics Meeting, Yale University, August 1976.

## LAW, EVIDENCE, AND DAMAGES

Organizer, Session on law and statistics sponsored by the Statistical Consulting Section of the American Statistical Association at the Association's Annual Meetings 2007, Salt Lake City.

Speaker, Invited presentation to the Winter Gathering of the Philadelphia Chapter of the American Statistical Association, January 26, 2007..

Speaker, "A Consulting Role in Dispute Resolution", invited presentation to the Fall Meeting of the Philadelphia Chapter of the American Statistical Association,. October 9, 2003.

Speaker, "A Consulting Role in Legal Applications", invited presentation at the 20<sup>th</sup> Annual Spring Conference sponsored by the Delaware Chapter of the American Statistical Association, "Probable Justice: Statistics and the Judiciary," April 25, 2003.

Paper, "Establishing Quantity Estimates and Levels of Certainty in Predicting Structural Damage: A Masonry Case Study," Third International Masonry Conference, London, October 1992 (with Alan J. Izenman and A. Rhett Whitlock).

Speaker, "A Case Study of Masonry Deterioration and Civil Damages: Calibration and Poisson Regression," Second International Conference on Forensic Statistics, Tempe, Arizona March 1993.

Discussant, "The Use of Statistics in Litigation," American Statistical Association, Annual Meeting, Detroit, August 1981.

Discussant, "Statistics in the Law," American Statistical Association, Annual Meeting, Boston, August 1976.