slcg SECURITIES LITIGATION & CONSULTING GROUP

Paul F. Meyer
Principal

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Key Qualifications

Mr. Meyer is Principal, Securities Litigation and Consulting Group, Inc. He has 26 years of experience in the retail securities industry as a registered representative, branch manager, and regional officer for a major Wall Street firm.

Mr. Meyer spent the first ten years of his career as a registered representative. He developed a retail business, providing financial advice and creating investment portfolios primarily for business owners, professionals, and retirees.

At his firm's invitation, Mr. Meyer left retail production to begin a career in management. He spent a total of nine years as a branch manager, with responsibility for various branch offices in Los Angeles. In addition to compliance and supervisory duties, these positions involved the recruitment of experienced brokers and the hiring and training of individuals new to the industry.

Mr. Meyer spent five years as a regional officer. In this position he had direct responsibility for 20 branch managers and their offices, in an area that included parts of Central and Southern California and all of Nevada.

Prior to joining Securities Litigation and Consulting Group, Mr. Meyer was Principal of Paul F. Meyer LLC, a litigation consulting firm. In that capacity Mr. Meyer appeared in both Federal and State courts, as well as before FINRA and JAMS arbitration panels.

Professional Experience

SECURITIES LITIGATION AND CONSULTING GROUP, INC.
2008 - *Principal*
Provides damages analysis, expert consulting and testifying in securities industry disputes.

Paul F. Meyer, LLC
2005-2008 *Principal*
Provided litigation consulting and expert testifying in retail securities matters.

SMITH BARNEY

2003-2005 *Senior Vice President, Senior Portfolio Manager*
Managed $50 million in balanced accounts for individuals.

2002-2003 *Senior Vice President, Complex Manager*
Supervised two offices in Westwood, CA; merged them, and recruited seven brokers from a major competitor.

1998-2002 *Executive Vice President, Regional Director*
Responsible for 20 branch offices and 500 Financial Advisers in California and Nevada.

1989-1998 *Senior Vice President, Branch Manager*
Managed branch offices in Encino and Woodland Hills, CA. The latter employed 40 Financial Advisers and had annual revenues of $15 million.

1979-1988 *Vice President, Financial Adviser*
Received initial training and licensing. Developed and serviced client accounts.

Education

UNIVERSITY OF ST. THOMAS, ST. PAUL MN

1974 M.A., Education/Teaching

MACALESTER COLLEGE, ST. PAUL, MN

1972 B.A., Classical Languages

Licenses Held While Registered

Series 7 NYSE Registration (General Securities Representative)
Series 8 NYSE Registration (General Securities Sales Supervisor)
Series 3 CTFC Registration (Commodities Futures)
Series 15 NYSE Registration (Foreign Currencies)
Series 65 NASAA Registration (Investment Adviser Representative)
Registered Options Principal

Areas of Expertise

Standards of care and industry practice
Supervision of brokers and branch managers
Compliance: sales practices and suitability
Recruitment and training disputes, promissory notes, contracts
Employment issues
Portfolio management and diversification
Damages evaluations

Professional Activities

FINRA Dispute Resolution, Non-public Arbitrator and Panel Chair