

BUSINESS SPECIALTY

Expert witness/Consultant in arbitration/litigation involving securities, insurance and annuities, nationwide. Testifying in 225 arbitrations (600+ retentions) before NASD/AAA/NYSE/PSE with 47 mediations in CA, OR, AZ, HI, TX, IL, KS, KY, LA, MA, MI, MO, NM, NV, OH, NY, GA, TN, WA, WV & FL. (No charge for direct/non-stop air flight time). Mr. Dinehart, RFC, has been qualified and has testified as a Securities Industry Expert Witness before the State Court in CA plus as an Insurance Industry Expert in the State Court of NV and serves as an NASD arbitrator. Services are provided for both claimants (65%) and respondents (35%).

AREAS OF STRENGTH

Common/preferred stocks, bonds, IPO's, mutual funds, variable/index annuities, life insurance/VUL, L.P.'s, hedge funds, REITS, TIC's, options, REMICS, TINTS, mortgage backed securities & Rule 144 private stock offerings. P&L's and damage calculations provided. Testifying as to suitability, fiduciary duty, due diligence, underwriting & supervision, overreaching, churning, selling away, over-concentration/asset allocation, modern portfolio theory analysis, standard deviation, sharpe ratio, stock manipulation, best execution, margin, options, mark-ups, mark-downs, on-line trading, employee disputes, RIA activities, control person liability, "financial suicide", reverse churning, standard-of-care, industry custom and practice plus compliance.

PROFESSIONAL LICENSES

MEMBER, NASD REGULATION, INC. BOARD OF ARBITRATORS #A30388 - Panel Chairman - NASD # 99-03001-April '00.

Empire Securities Corporation.- Series 7, 24, 27*, 63, 65, life ins.& var. contracts (CA #0643601), real estate* *previously held

EDUCATION

California State College at Los Angeles (B/A - Speech & Communication)
American Institute of Banking School of Finance & Taxation - Graduate - Los Angeles District Chapter

AFFILIATIONS

FindLaw.com, Jurispro.com. Pro/Counsel, TASA, C.C.C., Ca-Experts.com, Infofaq.com, Exify.com, YahooB2BLaw

Practising Law Institute - Panelist, Marketing Compliance - 1972 - R/E Syndication; [Money Magazine - January, 2006](#)

Real Estate Review - Published article - Fall - 1972; The Register (IARFC) Summer 2002; The Advisors Network, Winter 2002; Panelist & Speaker-Annual Seminar for Securities Brokers 2000-2003, sponsored by Registered Representative Magazine and On Wall Street magazines; Speaker-Panelist & Article, PIABA Conventions, 10 '03 & 2 '04; KFWB Radio, November, 2003 International Assn. of Registered Financial Consultants (IARFC), AAIL, Life Member - American Institute of Banking - Pasadena District Chapter (AIB), and Adjunct Faculty Member - Round Table Group. 2004 published articles - expertlaw.com Member - Society of Industry Leaders

BUSINESS EXPERIENCE

Securities

Carlsberg Securities Corporation - 1969-1982

- V.P. Marketing - Securities - Insurance - R/E syndication - Branch Manager

Westfield Capital Corporation - 1982-1986

- V.P. Marketing - Securities - R/E syndication - Branch Manager

Boardwalk Capital Corporation - 1987-1990 - Acting Branch Manager

- National Sales Director - Full service financial planning broker/dealer

- Chairman of due diligence committee, licensing and marketing compliance officer for 200 registered representatives.

- **President - Registered Investment Advisor & Director of Recruiting**

Financial Education Network Development

- 1990-2001 - Owner & founder of a financial planning firm providing educational, retirement, financial, planning seminars for corps., nationally. From 1991 to the present, the firm has added an emphasis upon expert witness activities, with 8 arbitrator assignments since 1999, with one pending and 2 awards.

- 1991-1999 - Eric Equities, Inc. - Securities, Annuities & Insurance - Branch Supervisor

- 2000 - Present - Empire Securities Corporation - Home Office Supervisor

Commercial Banking

Bank of America - 1959-1965 - AVP - Corporate Finance – National Division

Union Bank - 1965-1969 - VP - Manager of Business Development - So. California.

Taxes & Insurance

L. E. Cooper & Associates 1959-1965 - Tax Accountant

Carlsberg Insurance Agency - 1975-1982 - President