## William Luk

Regional Director, Northern California

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#### **Quandary Peak Research**

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## Education

Master in Business Administration USC – Marshall School of Business, Los Angeles, California

Master of Science in Computer Science University of Southern California, Los Angeles, California

#### **Bachelor of Science in Computer Science** University of Southern California, Los Angeles, California

## Employment

#### **Regional Director, Northern California**

Quandary Peak Research | Hayward, CA | July 2021-Present

- Evaluating the software architecture of various products and assessing overall characteristics, strengths and weaknesses
- Analyzing source code to opine on various software characteristics such as scalability, interoperability, security, and extensibility
- Using a suite of tools to programmatically detect the presence of open-source software and potential exposure to problematic open-source licenses and security vulnerabilities
- Interfacing with private equity, VC and other clients as well as executives/technical team from target companies
- Documenting and conveying technical findings to clients in the form of a formal report and/or executive briefing

#### Founder

Data Lantern Inc | Newark, California | Feb 2014–Dec 2020

- Built a secure data ecosystem for Internet of Things (IoT) to solve data security / privacy, smart device interoperability, and monetization / adoption issues
- Developed a PoC backend to secure smart device data with REST API's
- Led an off-shore team to develop a React-Native based data gathering app for Apple iOS and Android based devices (available on both Apple and Google App Stores)
- Pitched the company's ideas, business model, and execution strategies to investors and partners

#### Consultant

PeakEquity Partners | Radnor, Pennsylvania | Sept 2015-Dec 2017

- Performed technical due diligence of Security, SaaS, and Enterprise Content Management (ECM) companies for possible investment or acquisition
- Reviewed target company's product architecture, product security, deployment strategies, and support infrastructure for technical and security risk and exposure
- Compared target company's technology stacks and tools against the latest industry standards
- Identified and recommended solutions to investment's potential technical, security, development, quality, deployment, and operational (on-premise / SaaS) issues

#### **Advisor / Product Management**

Sideband Networks | San Jose, California | Feb 2014-Jan 2016

- Refocused company's strategy from network management to behavior-based security and risk monitoring using extremely large data analytics
- Authored a high-level PRD of a network anomalies based security appliance for enterprises
- Evaluated engineering process and established an Agile development process (Jira) for both engineering and product management teams

#### **Consultant / Product Management**

Prevalent Inc | Warren, New Jersey | June 2014-Aug 2015

- Defined the product requirements and workflows of the Vendor Risk Management (VRM) SaaS offering
- Monitor the off-shore development team progress and execution velocity
- Evaluated micro-service architecture of the SaaS backend for scalability

#### Senior Director, Software Development

CA Technologies Inc | Santa Clara, California | Nov 2010-Feb 2014

- Led the ERwin data modeling product development to support extremely large enterprise databases for Fortune 100 companies
- Managed the CA APM development team to develop the first SaaS solution
- Partnered with CA Ops, SaaS Platform team, Product Management, Sales, and 3rd Party Security Auditors to deliver the APM SaaS solutions on AWS
- Represented CA to work with key enterprise customers and world-wide user groups to present roadmaps, and address and resolve product issues and concerns
- Presented and updated CA senior executives quarterly on product development, key support issues, and customer satisfaction (NPS)

#### **Senior Director, Advanced Products**

Yousendit / HighTail Inc | Campbell, California | Apr 2010-Nov 2010

- Formulated product strategies and roadmaps to extend freemium SaaS offering
- Expanded business into China by partnering with CDN (ChinaCache) in China
- Shifted focus from email file exchange to cloud storage and mobile computing platform

#### **Director, Development**

Symantec Corporation | Mountain View, California | May 2003-June 2009

- Led the development of Symantec Network Security (IDS/IPS) and Database Security products for very large enterprises
- Mentored and developed leaderships in coding, quality engineering, and product management
- Managed global engineering teams in the US, Pune India, and Bangalore India

#### Software Manager

CoSine Communications | Redwood City, California | Feb 1999-May 2003

- Architected, designed, and managed the development of the stateful firewall, H.323, and SIP gateway for the carrier class IPSX network switch with virtualized security services
- Developed a security architecture for the embedded RTOS inside a network switch
- Deployed a secured H.323 based video conference solution in the AT&T network

#### **Network Security Architect**

Union Bank of California (UBOC) | Monterey Park, California | Jan 1998-Feb 1999

- Architected the security perimeters for UBOC's two primary data centers
- Evaluated and implemented encryption of wire transfer processing within the UBOC network
- Represented Information Security to partner with different UBOC business units to identify potential security and risk exposures of new initiatives
- Partnered with UBOC auditors to conduct audits of employee's remote access
- Designed the UBOC's security policies and incident response procedures to comply with Federal banking regulations

#### Senior Software Engineer / Consultant,

Trusted Information Systems (TIS) | Los Angeles, California | May 1995-Jan 1998

- Designed and developed the Oracle SQL\*Net, Microsoft SQL Server, SNMP, and Line Printer application proxies for the Gauntlet Firewall, the first commercial Internet firewall
- Consulted Republic National Bank of New York, American Express, Monsanto, Hughes Aircraft, and US Navy on network and perimeter security

## **Litigation Consulting**

 <u>Anduril Industries, Inc</u> v. Salient Motion Inc | Apr 2024–Present Jurisdiction: US District Court, Central District of California Case Number: 8:23-cv-01650 Counsel: McKool Smith, P.C. Nature of Suit: Trade Secret

• InQuisient Inc v. ServiceNow, Inc | Mar 2024–Present Jurisdiction: US District Court for the District of Delaware Case Number: 1:22-cv-00900 Counsel: Fish & Richardson P.C. Nature of Suit: Patent

 <u>Robotic Vision Technologies Inc</u> v. ABB Inc | Feb 2024–Present Jurisdiction: Delaware District Court Case Number: 1:22-cv-01257 Counsel: Sterne Kessler Goldstein & Fox PLLC Nature of Suit: Patent, Trade Secret and Copyright Infringement Technology: Robotic computer vision, gripper targeting, feature selection and identification

#### Polaris PowerLED Technologies, LLC v. Samsung Electronics America | Sept 2023-Present

Jurisdiction: Eastern District of Texas, Marshal Division Case Number 2:22-cv-353-JRG Counsel: Kramer Alberti Lim & Tonkovich LLP Nature of Suit: Patent

• <u>Security First Innovations, LLC</u> vs Google LLC | Sept 2023–Present Jurisdiction: US District Court Eastern District of Virginia Alexandria Division Case Number 2-23-cv-00097-EWH-RJK Counsel: Sullivan & Cromwell LLP Nature of Suit: Patent

 Donna Wood, et al. v. <u>Mike Bloomberg 2020, Inc.</u> | Sept 2023–Present Jurisdiction: US District Court Southern District of New York Case Number 20-cv-02489-LTS-GWG Counsel: Proskauer Rose LLP Nature of Suit: Class Action, Political

 Nantworks, LLC, and Nant Holdings IP, LLC v. <u>Niantic, Inc.</u> | Aug 2023–Present Jurisdiction: US District Court Northern District of California Case Number 3:20-cv-06262 Counsel: Sullivan Blackburn Pratt LLP Nature of Suit: Patent

#### • K+S Potash Canada General Partnership v. Veolia Water Technologies Inc | Mar 2023-Present

Jurisdiction: Court Of Queen's Bench For Saskatchewan Case Number QB No. 817 (filed 2018) Counsel: Stikeman Elliott LLP Nature of Suit: Breach of Contract Technology: Plant Design Management Systems (PDMS), Clash Detection, Database synchronization Role: Software Architecture and Product Reviewer

• <u>Wildseed Mobile</u> v. Google LLC | Jan 2023–Present Jurisdiction: US District Court Western District of Texas Waco Division Case Number: 6:22-cv-00357 Counsel: Feinberg Day Kramer Alberti Lim Tonkovich & Belloli LLP Nature of Suit: Patent Infringement

<u>Taasera Licensing, LLC</u> v. Trend Micro,
 <u>Check Point Software, Palo Alto Networks</u> | Jan 2023–Dec 2023
 Jurisdiction: US District Court Eastern District of Texas Marshall Division
 Case Number: 2:22-cv-00062-JRG-RSP
 Counsel: Fabricant LLP
 Nature of Suit: Patent

#### <u>GoTV Streaming LLC</u> v. Netflix | Jan 2023–Present

Jurisdiction: US District Court Central District of California Western Division Case Number: 2:22-cv-07556 Counsel: Alavi & Anaipakos, PLLC Nature of Suit: Patent

 Sunstone v. <u>F5</u> | Sept 2022–Present Jurisdiction: US District Court Northern District of California Oakland Division Case Number: 4:21-cv-09529-YGR Counsel: King & Spalding LLP Nature of Suit: Patent

# Carrolls v. <u>Neels</u> | July 2022–Mar 2024 Jurisdiction: Superior Court Maricopa County, Arizona Case Number: CV2021-008343 Counsel: Guidant Law Firm, Tempe Arizona Nature of Suit: Misrepresentation & Non-disclosure

#### · Broadband iTV, Inc. v. Comcast Corporation; Comcast Cable Communications, LLC; NBCUniversal Media,

LLC | Apr 2022–Sept 2022 Jurisdiction: International Trade Commission Case Number: 337-TA-1315 Counsel: Kramer Day Alberti Lim Tonkovich & Belloli Nature of Suit: Patent

#### • Broadband iTV, Inc. v. Charter Communications, Inc; Charter Communications Holding Company, LLC | Apr

2022–Sept 2022 Jurisdiction: International Trade Commission Case Number: 337-TA-1315 Counsel: Kramer Day Alberti Lim Tonkovich & Belloli Nature of Suit: Patent • Broadband iTV, Inc. v. Spectrum Management Holding Company, LLC | Apr 2022–Sept 2022

Jurisdiction: International Trade Commission Case Number: 337-TA-1315 Counsel: Kramer Day Alberti Lim Tonkovich & Belloli Nature of Suit: Patent

 <u>Broadband iTV, Inc.</u> v. Altice USA, Inc; CSC Holdings, LLC; Cablevision Systems Corp. | Apr 2022–Sept 2022 Jurisdiction: International Trade Commission Case Number: 337-TA-1315 Counsel: Kramer Day Alberti Lim Tonkovich & Belloli Nature of Suit: Patent

 Via Transportation v. RideCo Inc | June 2022–Present Jurisdiction: Western District of Texas Case Number: 6:21-cv-00457 Counsel: Quinn Emanuel Urguhart & Sullivan LLP Nature of Suit: Patent

- Cryptocurrency Pre-Litigation Investigation (<u>Confidential Defendant</u>) | May 2022 Jurisdiction: Federal (DoJ)
   Counsel: Todd & Weld LLP
   Nature of Suit: Cryptocurrency, Blockchain, Smart-Contracts
- <u>ReactX</u> v. Google | Apr 2022–Present Jurisdiction: Central District of Los Angeles Case Number: 18STCV09674 Counsel: Cohen Milstein Sellers & Toll PLLC Nature of Suit: Trade Secret
- Express Mobile v. Microsoft | Sept 2021–Present Jurisdiction: Northern District of California Case Number: 3:20-cv-06152 Counsel: Feinberg Day Kramer Alberti Lim Tonkovich & Belloli LLP Nature of Suit: Patent
- <u>Centripetal</u> v. Palo Alto Networks | Aug 2021–Present Jurisdiction: Eastern District of Virginia Case Number: 2:21-cv-00137-AWA-RJK Counsel: Kramer Levin Naftalis & Frankel LLP Nature of Suit: Patent

## **Tech Due Diligence Consulting**

- Genpact | M&A | May 2023 Technology: AI/ML, Predictive Analysis
- Lionard PTE Ltd, Singapore | Startup M&A | April 2022 Technologies: Blockchain, Content
- **Tenex Capital Management** | Startup M&A | Mar 2022 Technologies: B2B2C, Employee Wellness
- Setana Energy Group | Startup M&A | Dec 2021 Technologies: On-line shopping

- Schneider Electric | Startup M&A | Dec 2021 Technologies: SaaS, Electric Vehicle
- Schneider Electric | Startup Investment | Nov 2021 Technologies: SaaS, Energy
- Schneider Electric | Startup M&A | Nov 2021 Technologies: Lead Generation, Energy
- Tenex Capital Management | Startup M&A | Nov 2021 Technologies: Lead Generation, Solar

## **Publications**

- William Luk | 2015
  <u>Internet of Things / Internet of Sensor Data</u>
- William Luk | CA ERWorld 2012 | 2012
  <u>A Unified Data Modeler in the World of Big Data</u>
- William Luk | YouSendlt, Inc | 2010 Data Migration and Collaboration in the Cloud

### Certifications

• Scrum Alliance Certified Scrum Product Owner (CSPO) Certified Scrum Master (CSM)

## Skills

**Technical:** C, C++, Python, JavaScript, PHP, Java, SQL, MySQL, MongoDB, PostgreSQL, HBase, GraphDB, GraphQL, React-Native, TCP/IP, RTOS (VxWorks), Network Security, hardware appliances

Tools: AWS, Ubuntu, iOS, Node, Docker, Git, GitHub, Hadoop, Hive, Jira, IBM RTC, VersionOne

**Management:** Full software lifecycle, Global engineering teams, Offshore / contract engineering teams, Agile product delivery (engineering & product management), Leadership coaching / development, Executive level communications / presentation, Customer requirements and relationship building